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10				Defendants PROOFPOINT, INC. ZE TECHNOLOGIES, INC.		
11	IN THE UNITED ST	ГАТЕ	S DISTE	RICT COURT		
12	FOR THE NORTHERN DISTRICT OF CALIFORNIA					
13	SAN JOSE DIVISION					
14						
15	FINJAN, INC., a Delaware Corporation,					
16	Plaintiff,			LATED AMENDED ECTIVE ORDER		
17 18 19 20	v.  PROOFPOINT, INC., a Delaware Corporatio and ARMORIZE TECHNOLOGIES, INC., a Delaware Corporation,  Defendants.		DEMA	ND FOR JURY TRIAL		
21						
22 22 23	PURPOSES AND LIMITATIONS  Disclosure and discovery activity in t	his act	ion ara li	kely to involve production of		
24	Disclosure and discovery activity in this action are likely to involve production of					
25	confidential, proprietary, or private information for which special protection from public					
	disclosure and from use for any purpose other than prosecuting this litigation may be warranted.					
26	Accordingly, the parties hereby stipulate to and petition the Court to enter the following					
27	Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket					
28	protections on all disclosures or responses to discovery and that the protection it affords from					

Case No.: 5:13-cv-05808-BLF

STIPULATED AMENDED

PROTECTIVE ORDER

public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles. The parties further acknowledge, as set forth in Section 14.4 below, that this Stipulated Protective Order does not entitle them to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the Court to file material under seal.

### 2. DEFINITIONS

- 2.1 <u>Challenging Party</u>: a Party or Non-Party that challenges the designation of information or items under this Order.
- 2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil Procedure 26(c).
- 2.3 <u>Counsel (without qualifier)</u>: Outside Counsel of Record and House Counsel (as well as their support staff).
- 2.4 <u>Designated House Counsel</u>: House Counsel who seek access to "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" information in this matter.
- 2.5 <u>Designating Party</u>: a Party or Non-Party that designates information or items that it produces in disclosures or in responses to discovery as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE."
- 2.6 <u>Disclosure or Discovery Material</u>: all items or information, regardless of the medium or manner in which it is generated, stored, or maintained (including, among other things, testimony, transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery in this matter.
- 2.7 <u>Expert</u>: a person with specialized knowledge or experience in a matter pertinent to the litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as a consultant in this action, (2) is not a past or current employee of a Party, and (3) at the time

of retention, is not anticipated to become an employee of a Party.

- 2.8 <u>"HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" Information or Items</u>: extremely sensitive "Confidential Information or Items," disclosure of which to another Party or Non-Party would create a substantial risk of serious harm that could not be avoided by less restrictive means.
- 2.9 "<u>HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE"</u>

  <u>Information or Items</u>: extremely sensitive "Confidential Information or Items" representing

  "Source Code," (defined below), disclosure of which to another Party or Non-Party would create a substantial risk of serious harm that could not be avoided by less restrictive means.
- 2.10 <u>House Counsel</u>: attorneys who are employees of a Party to this action. House Counsel does not include Outside Counsel of Record or any other outside counsel.
- 2.11 <u>Non-Party</u>: any natural person, partnership, corporation, association, or other legal entity not named as a Party to this action.
- 2.12 <u>Outside Counsel of Record</u>: attorneys who are not employees of a Party to this action but are retained to represent or advise a Party to this action and have appeared in this action on behalf of that Party or are affiliated with a law firm which has appeared on behalf of that Party.
- 2.13 <u>Party</u>: any party to this action, including all of its officers, directors, employees, consultants, retained experts, House Counsel and Outside Counsel of Record (and their support staffs).
- 2.14 <u>Producing Party</u>: a Party or Non-Party that produces Disclosure or Discovery Material in this action.
- 2.15 <u>Professional Vendors</u>: persons or entities other than employees of a Party to this action that provide litigation support services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and reviewing, categorizing, organizing, storing, or retrieving data in any form or medium) and their employees and subcontractors.
- 2.16 <u>Protected Material</u>: any Disclosure or Discovery Material that is designated as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or

"HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE."

- 2.17 <u>Receiving Party</u>: a Party that receives Disclosure or Discovery Material from a Producing Party.
- 2.18 Source Code: computer instructions and data definitions expressed in a form suitable for input to an assembler or compiler, along with associated comments and revision histories.

### 3. SCOPE

3.1 The protections conferred by this Stipulation and Order cover not only Protected Material (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected Material. However, the protections conferred by this Stipulation and Order do not cover the following information: (a) any information that is in the public domain at the time of disclosure to a Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a result of publication not involving a violation of this Order, including becoming part of the public record through trial or otherwise; and (b) any information known to the Receiving Party prior to the disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the information lawfully and under no obligation of confidentiality to the Designating Party. Any use of Protected Material at trial shall be governed by a separate agreement or order.

### 4. DURATION

4.1 Even after final disposition of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any motions or applications for extension of time pursuant to applicable law. For a period of six months after final deposition of this litigation, this court will retain jurisdiction to enforce the terms of this order.

### 5. <u>DESIGNATING PROTECTED MATERIAL</u>

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or Non-Party that designates information or items for protection under this Order must take care to limit any such designation to specific material that qualifies under the appropriate standards. To the extent it is practical to do so, the Designating Party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify – so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process or to impose unnecessary expenses and burdens on other parties) expose the Designating Party to sanctions.

If it comes to a Designating Party's attention that information or items that it designated for protection do not qualify for protection at all or do not qualify for the level of protection initially asserted, that Designating Party must promptly notify all other Parties that it is withdrawing the mistaken designation.

- 5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order (see, e.g., second paragraph of Section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so designated before the material is disclosed or produced. Designation in conformity with this Order requires:
- (a) <u>for information in documentary form</u> (e.g., paper or electronic documents, but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE" to each page of a document or portion of a document that contains Protected Material.

A Party or Non-Party that makes original documents or materials available for inspection need not designate them for protection until after the inspecting Party has indicated which

material it would like copied and produced. During the inspection and before the designation, all of the material made available for inspection shall be deemed "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or if the material is Source Code, "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE." After the inspecting Party has identified the documents it wants copied and produced, the Producing Party must determine which documents, or portions thereof, qualify for protection under this Order. Then, before producing the specified documents, the Producing Party must affix the appropriate legend ("CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE") to each page of a document that contains Protected Material.

(b) <u>for testimony given in deposition or in other pretrial or trial proceedings</u>, that the Designating Party identify on the record, before the close of the deposition, hearing, or other proceeding, or within 21 days thereafter in a written notice to the other Party, all protected testimony and specify the level of protection being asserted. Only those portions of the testimony that are appropriately designated for protection within the 21 days shall be covered by the provisions of this Stipulated Protective Order. Alternatively, a Designating Party may specify, at the deposition, hearing or other proceeding, or up to 21 days afterwards in writing, that the entire transcript shall be treated as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

During a deposition, hearing, or other proceeding, when counsel deems that the answer to a question may result in the disclosure of Protected Material of that counsel's client, counsel may request that any persons present who are not authorized pursuant to Section 7 leave the deposition, hearing, or other proceeding during the confidential portion thereof. The use of a document as an exhibit at a deposition, hearing, or other proceeding shall not in any way affect its designation as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE."

Transcripts containing Protected Material shall have an obvious legend on the title page that the transcript contains Protected Material, and the title page shall be followed by a list of all

pages (including line numbers as appropriate) that have been designated as Protected Material and the level of protection being asserted by the Designating Party. The Designating Party shall inform the court reporter of these requirements. Any transcript that is prepared before the expiration of the 21-day period for designation shall be treated during that period as if it had been designated "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" in its entirety unless otherwise agreed. After the expiration of that period, the transcript shall be treated only as actually designated.

- (c) <u>for information produced in some form other than documentary and for any other tangible items</u>, that the Producing Party affix in a prominent place on the exterior of the container or containers in which the information or item is stored the legend "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE." If only a portion or portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected portion(s) and specify the level of protection being asserted.
- 5.3 <u>Inadvertent Failures to Designate</u>. An inadvertent failure to designate qualified information or items does not, standing alone, waive the Designating Party's right to secure protection under this Order for such material. Upon the reasonably timely correction of a designation, the Receiving Party must make reasonable efforts to assure that the material is treated in accordance with the provisions of this Order. If at the time of such correction, the Receiving Party has already disclosed the Protected Material in a manner inconsistent with the corrected designation, the Receiving Party shall comply with the procedures set forth at Section 12.1.

### 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1 <u>Timing of Challenges</u>. Any Party or Non-Party may challenge a designation of confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to avoid foreseeable, substantial and unnecessary unfairness, economic burdens, or disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original

designation is disclosed.

- 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process by providing written notice of each designation it is challenging and describing the basis for each challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the challenge to confidentiality is being made in accordance with this specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to the next stage of the challenge process only if it has engaged in this meet and confer process first or establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely manner.
- 6.3 <u>Judicial Intervention</u>. If the Parties cannot resolve a challenge without court intervention they shall comply with the undersigned's Standing Order re Civil Discovery Disputes (and with Civil Local Rule 79-5, if applicable). In each Discovery Dispute Joint Report (DDJR), the parties must attest that they have complied with the meet and confer requirements imposed in the preceding paragraph. Failure by the Designating Party to seek court intervention within the time period set out in the Standing Order, Section D shall automatically waive the confidentiality designation for each challenged designation. In addition, the Challenging Party may seek relief with respect to a confidentiality designation at any time if there is good cause for doing so, including a challenge to the designation of a deposition transcript or any portions thereof. In any DDJR brought pursuant to this provision the parties must attest that they have complied with the meet and confer requirements imposed by the preceding paragraph.

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges and those made for an improper purpose (e.g., to harass or impose

unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived the confidentiality designation by failing to file a motion to retain confidentiality as described above, all parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation until the Court rules on the challenge.

### 7. ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of Section 15 below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Order.

- 7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u>. Unless otherwise ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" only to:
- (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation;
- (b) the officers, directors, and employees (including House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);
- (c) Experts (as well as their support staff) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);
  - (d) the Court and its personnel, pursuant to Section 14.4;
  - (e) court reporters and their staff, professional jury or trial consultants, and

Professional Vendors to whom disclosure is reasonably necessary for this litigation;

- (f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);
- (g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information, and any current employee of the Producing Party.
- 7.3 <u>Disclosure of "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" and "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE" Information or Items</u>: Unless otherwise ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose, subject to the provisions of Section 8, any information or item designated "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" only to:
- (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation;
- (b) For any information or item designated <u>HIGHLY CONFIDENTIAL</u> <u>ATTORNEYS' EYES ONLY</u> Experts (as well as their support staff) of the Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), and (3) as to whom the procedures set forth in Section 7.4, below, have been followed; and for any information or item designed <u>HIGHLY CONFIDENTIAL</u> <u>ATTORNEYS' EYES ONLY</u> <u>SOURCE CODE Experts</u> of the Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, (2) who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), and (3) as to whom the procedures set forth in Section 7.4, below, have been followed;
  - (c) the Court and its personnel, pursuant to Section 14.4;
- (d) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation; and
  - (e) the author or recipient of a document containing the information or a custodian or

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other person who otherwise possessed or knew the information, and any current employee of the Producing Party.

In addition, the Parties agree to meet and confer in good faith regarding disclosures of particular "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" documents or information to Designated House Counsel, where the disclosure is reasonably necessary to the litigation of this action.

# 7.4 <u>Procedures for Approving or Objecting to Disclosure of "HIGHLY</u> <u>CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE" Information or Items to Experts.</u>

Unless otherwise ordered by the Court or agreed to in writing by the Designating (a) Party, a Party that seeks to disclose to an Expert (as defined in this Order) any information or item that has been designated "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY – SOURCE CODE" pursuant to Section 7.3(b) first must (1) provide the Designating Party with the "Acknowledgment and Agreement to Be Bound" (Exhibit A) executed by the Expert and (2) make a written request to the Designating Party that (a) sets forth the full name of the Expert and the city and state of his or her primary residence, (b) attaches a copy of the Expert's current resume, (c) identifies the Expert's current employer(s), (d) identifies each person or entity from whom the Expert has received compensation or funding for work in his or her areas of expertise or to whom the expert has provided professional services, including in connection with a litigation, at any time during the preceding five years, 1 and (e) identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has offered expert testimony, including through a declaration, report, or testimony at a deposition or trial, during the preceding five years.

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<sup>1</sup> If the Expert believes any of this information is subject to a confidentiality obligation to a third-party, then the Expert should provide whatever information the Expert believes can be disclosed without violating any confidentiality agreements, and the Party seeking to disclose to the Expert shall be available to meet and confer with the Designating Party regarding any such engagement.

- (b) A Party that makes a request and provides the information specified in the preceding paragraph may disclose the subject Protected Material to the identified Expert unless, within 14 days of delivering the request, the Party receives a written objection from the Designating Party. Any such objection must set forth in detail the grounds on which it is based.
- 7.5 A Party that receives a timely written objection must meet and confer with the Designating Party (through direct voice to voice dialogue) to try to resolve the matter by agreement within seven days of the written objection. If no agreement is reached, the parties shall comply with the undersigned's Standing Order re Civil Discovery Disputes (and with Civil Local Rule 79-5, if applicable) seeking permission from the Court to do so. Any such DDJR must describe the circumstances with specificity, set forth in detail the reasons why the disclosure to the Expert is reasonably necessary, assess the risk of harm that the disclosure would entail, and suggest any additional means that could be used to reduce that risk. In addition, any such DDJR must describe the parties' efforts to resolve the matter by agreement (i.e., the extent and the content of the meet and confer discussions) and set forth the reasons advanced by the Designating Party for its refusal to approve the disclosure. In any such proceeding, the Party opposing disclosure to the Expert shall bear the burden of proving that the risk of harm that the disclosure would entail (under the safeguards proposed) outweighs the Receiving Party's need to disclose the Protected Material to its Expert.

### 8. <u>PROSECUTION BAR</u>

Absent written consent from the Producing Party, any individual who receives access to another party's "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" information that is technical in nature or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE" information, shall not be involved in the prosecution of patents or patent applications relating to network security technologies capable of detecting malware and computer viruses, including without limitation the patents asserted in this action and any patent or application claiming priority to or otherwise related to the patents asserted in this action, before any foreign or domestic agency, including the United States Patent and Trademark Office ("the Patent Office"). For purposes of this paragraph, "prosecution" includes: (1) directly or indirectly

drafting, amending, advising, or otherwise affecting the scope or maintenance of patent claims in original prosecution proceedings; and (2) involvement in or advising regarding drafting or amending patent claims in a reissue, reexamination, or post grant proceedings. To avoid any doubt, "prosecution" as used in this paragraph does not include such individual representing a party challenging another's patent before a domestic or foreign agency (including, but not limited to reissue, post-grant and reexamination proceedings). In addition, no prohibition set forth in this paragraph shall apply to or result from any designated material that such individual had lawfully received or authored prior to and apart from this litigation, or to any other material not covered by this Stipulated Protective Order. This Prosecution Bar shall begin when access to "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" information that is technical in nature or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE" information is first received by the affected individual and shall end two (2) years after final termination of this action.

### 9. <u>SOURCE CODE</u>

- 9.1 Nothing in this Protective Order shall obligate the Parties to produce any Source Code, nor act as an admission that any particular Source Code is discoverable.
- 9.2 Source Code may be designated as "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE" and shall be subject to all protections applicable to materials designated "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," in addition to the following protections:
- (a) If the production of Source Code is to occur in this litigation, after reasonable notice to the Receiving Party, a single electronic copy of any such Source Code shall be made available (to the extent such electronic copy can be obtained by the Producing Party) for inspection on a non-networked secure standalone computer at a mutually agreeable location and at mutually agreeable times during normal business hours, or Source Code shall be made available in another form selected by the Producing Party that is at least as accessible as the standalone computer option described herein. The non-networked standalone computer shall be password protected and supplied by the Producing Party. The Receiving Party shall not copy,

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remove, or otherwise transfer any portion of the Source Code onto any recordable media or recordable device. The Producing Party may visually monitor the activities of the Receiving Party's representative(s) during any Source Code review, but only to ensure that there is no unauthorized recording, copying, or transmission of the Source Code;

- (b) Access to the standalone computer shall be permitted, after notice to the Producing Party and an opportunity to object, to four (4) Outside Counsel of Record representing the Receiving Party and three (3) Experts retained by the Receiving Party, the Experts having been approved under Section 7.4 of this Protective Order. The persons who will review Source Code on behalf of a Receiving Party shall be named in writing to the Producing Party at least seven days in advance of the first time that such person reviews such Source Code, and the Producing Party may object in writing within three court days of such notice. The parties shall thereafter meet and confer within three court days of any objection by the Producing Party to attempt to resolve the objection. If the Parties cannot resolve the objection without court intervention, they shall comply with the undersigned's Standing Order re Civil Discovery disputes (and with Civil Local Rule 79-5, if applicable) within five court days of the end of the meet and confer period for relief from providing the Receiving Party's proposed Source Code reviewer access to the Producing Party's Source Code pursuant to the terms herein. In any such proceeding, the Producing Party shall bear the burden of proving that the risk of harm that the access would entail outweighs the Receiving Party's need to allow its proposed Source Code reviewer access to the Source Code under the safeguards proposed;
- (c) The Receiving Party may request that additional software tools be installed on the standalone computer to assist the Receiving Party's review of the Source Code, and at the Receiving Party's expense. If the Parties are unable to agree on the additional requested software tools, they may seek an Order from the Court after making a good faith effort to resolve their dispute;
- (d) The Receiving Party may request paper copies of limited portions of Source Code that are reasonably necessary for the preparation of court filings, pleadings, expert reports, or other papers, or for deposition or trial, but shall not request paper copies for the purposes of

reviewing the Source Code other than electronically as set forth in paragraph (a) in the first instance. The Producing Party shall provide all such Source Code in paper form including bates numbers and the label "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY – SOURCE CODE" within five court days of the Receiving Party's request. The Producing Party may object in writing to the amount of Source Code requested in hard copy form within three court days of the Receiving Party's request. The parties shall thereafter meet and confer within three court days of any objection by the Producing Party to attempt to resolve the objection. If the Parties cannot resolve the objection without court intervention, they shall comply with the undersigned's Standing Order re Civil Discovery disputes (and with Civil Local Rule 79-5, if applicable) within three court days of the end of the meet and confer period for relief from production of the requested hard copy of Source Code to the Receiving Party pursuant to the terms herein. In any such proceeding, the Producing Party shall bear the burden of proving that the risk of harm that the disclosure would entail outweighs the Receiving Party's interest in receiving the hard copy of the Source Code under the safeguards proposed. In the event that the Court orders production of the requested hard copy of Source Code, the Producing Party shall produce such hard copy within five court days of the court order;

- (e) All access to the Source Code computer shall be maintained on a Source Code
  Access Log identifying, for each and every time any Source Code is viewed, accessed or
  analyzed: (1) the name of each person who accessed the code; (2) the date and time of access; (3)
  the length of time of access; and (4) a copy of any hard (non-electronic) copies of any portion of
  the code that were produced;
- (f) No electronic copies of Source Code shall be made without the agreement of the Producing Party or further order of the Court. The Receiving Party shall maintain all paper copies of any printed portions of the Source Code in a secure area. No additional hard (non-electronic) copies of Source Code or portions of Source Code shall be made without the agreement of the Producing Party. The Receiving Party shall not create any electronic or other images of the paper copies and shall not convert any of the information contained in the paper copies into any electronic format. Notwithstanding the foregoing, nothing in this sub-Paragraph

shall prevent a Party from making such additional hard or electronic copies of Source Code as are (1) necessary for use as exhibits at trial; (2) necessary to prepare court filings, pleadings, or other papers (including a testifying expert's expert report), (3) necessary for deposition, or (4) otherwise necessary for the preparation of its case. Such papers shall be subject to the requirements of Section 14.4 herein, and all other protections applicable to materials designated HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY;

- (g) Any paper copies of Source Code used during a deposition as an exhibit shall be labeled with production numbers and a copy shall be provided to the party defending the deposition, provided said party is authorized to receive the Source Code under this Order. Such exhibits shall be retrieved by the party that introduced them at the end of each deposition day and must not be left with a court reporter or any other individual. No party may thereafter object to the deposition transcript or exhibit on the basis that the exhibit is not attached to the court reporter's version of the transcript or on the basis that the court reporter did not retain the exhibit;
- (h) Within 60 days of final termination of the suit as to the Producing Party, the Receiving Party shall return all hard (non-electronic) copies of Source Code or certify through counsel with personal knowledge that all such copies have been destroyed.

# 10. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

- 10.1 If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE," that Party must:
- (a) promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;
- (b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

- (c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.
- 10.2 If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY." or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE" before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

# 11. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS LITIGATION</u>

- (a) The terms of this Order are applicable to information produced by a Non-Party in this action and designated as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY SOURCE CODE." Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional protections.
- (b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:
- (i) promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;
- (ii) promptly provide the Non-Party with a copy of the Stipulated Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and
  - (iii)make the information requested available for inspection by the Non-Party.

(c) If the Non-Party fails to object or seek a protective order from this Court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the Court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this Court of its Protected Material. All disclosure and discovery disputes are subject to the undersigned's Standing Order re Civil Discovery Disputes.

### 12. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

12.1 If a Receiving Party learns that, by inadvertence or otherwise (including due to an incorrect designation pursuant to Section 5.3), it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

# 13. <u>INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL</u>

13.1 When a Producing Party gives notice to Receiving Parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to modify whatever procedure may be established in an e-discovery order that provides for production without prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a communication or information covered by the attorney-client privilege or work product protection, the parties may incorporate their agreement in the stipulated protective order

### submitted to the Court.

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#### 14. MISCELLANEOUS

- Right to Further Relief. Nothing in this Order abridges the right of any person to 14.1 seek its modification by the Court in the future.
- 14.2 <u>Right to Assert Other Objections</u>. By stipulating to the entry of this Protective Order, no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.
- 14.3 Export Control. Disclosure of Protected Material shall be subject to all applicable laws and regulations relating to the export of technical data contained in such Protected Material, including the release of such technical data to foreign persons or nationals in the United States or elsewhere. The Producing Party shall be responsible for identifying any such controlled technical data, and the Receiving Party shall take measures necessary to ensure compliance.
- 14.4 <u>Filing Protected Material</u>. Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request establishing that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(e) is denied by the Court, then the Receiving Party may file the Protected Material in the public record pursuant to Civil Local Rule 79-5(e) and (f) unless otherwise instructed by the Court.

#### 15. **FINAL DISPOSITION**

15.1 Within 60 days after the final disposition of this action, as defined in Section 4, each Receiving Party must return all Protected Material to the Producing Party or destroy such

1	material. As used in this subdivision, "all Protected Material" includes all copies, abstracts,				
2	compilations, summaries, and any other format reproducing or capturing any of the Protected				
3	Material. Whether the Protected Material is returned or destroyed, the Receiving Party must				
4	submit a written certification to the Producing Party (and, if not the same person or entity, to the				
5	Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all				
6	the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has				
7	not retained any copies, abstracts, compilations, summaries or any other format reproducing or				
8	capturing any of the Protected Material. Notwithstanding this provision, Outside Counsel are				
9	entitled to retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing				
10	transcripts, legal memoranda, correspondence, deposition and trial exhibits, expert reports,				
11	attorney work product, and consultant and expert work product, even if such materials contain				
12	Protected Material. Designated House Counsel may also retain an archival copy of any such				
13	materials that do not contain, disclose, cite, or reference another party's Protected Material. Any				
14	such archival copies that contain or constitute Protected Material remain subject to this Protective				
15	Order as set forth in Section 4 (DURATION).				
16					
17	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.				
18					
19	DATED: October, 2014				
20	PAUL J. ANDRE (State Bar No. 196585) pandre@kramerlevin.com				
21	LISA KOBIALKA (State Bar No. 191404) lkobialka@kramerlevin.com				
22	JAMES HANNAH (State Bar No. 237978)				
23	jhannah@kramerlevin.com KRAMER LEVIN NAFTALIS				
24	& FRANKEL LLP 990 Marsh Road				
25	Menlo Park, CA 94025 Telephone: (650) 752-1700				
26	Facsimile: (650) 752-1700				
27	Attorneys for Plaintiff				
28	FINJAN, INC.				

PROTECTIVE ORDER

1					
2	DATED: October, 2014				
3	jenniferkash@quinnemanuel.com				
	Sean Pak (Bar No. 219032)				
4	seanpak@quinnemanuel.com Iman Lordgooei (Bar No. 251320)				
5	imanlordgooei@quinnemanuel.com				
6	Tiffany Nichols (Bar No. 289621) tiffanynichols@quinnemanuel.com				
7	Howard Chen (Bar No. 265015)				
8	howardchen@quinnemanuel.com QUINN EMANUEL URQUHART &				
	SULLIVAN, LLP				
9	50 California Street, 22nd Floor				
10	San Francisco, California 94111-4788 Telephone:(415) 875 6600				
11	Facsimile: (415) 875 6700				
12	Attorneys for Defendants				
	PROOFPOINT, INC. and ARMORIZE				
13	TECHNOLOGIES, INC.				
14					
15	In accordance with Civil Local Rule 5-1(i)(3), I attest that concurrence in the filing of this				
16	document has been obtained from any other signatory to this document.				
17	By:				
18	[[Insert name]]				
19	PURSUANT TO STIPULATION, IT IS SO ORDERED.				
20	November 10, 2014				
21	DATED:				
22	United States <del>District</del> Judge				
23	Magistrate				
24					
25					
26					
27					
28					
	21				

STIPULATED AMENDED PROTECTIVE ORDER

1	<u>EXHIBIT A</u>				
2	ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND				
3	I, [print or type full name], of				
4	[print or type full address], declare under penalty of perjury that I have read in its entirety and				
5	understand the Stipulated Protective Order that was issued by the United States District Court for				
6	the Northern District of California, San Francisco Division on [date] in the case of				
7	FINJAN, INC. v. PROOFPOINT, INC. AND ARMORIZE TECHNOLOGIES, INC. Case No.:				
8	5:13-cv-05808-BLF. I agree to comply with and to be bound by all the terms of this Stipulated				
9	Protective Order, and I understand and acknowledge that failure to so comply could expose me to				
10	sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in				
11	any manner any information or item that is subject to this Stipulated Protective Order to any				
12	person or entity except in strict compliance with the provisions of this Order.				
13	I further agree to submit to the jurisdiction of the United States District Court for the				
14	Northern District of California for the purpose of enforcing the terms of this Stipulated Protective				
15	Order, even if such enforcement proceedings occur after termination of this action.				
16	I hereby appoint [print or type full name] of				
17	[print or type full address and telephone				
18	number] as my California agent for service of process in connection with this action or any				
19	proceedings related to enforcement of this Stipulated Protective Order.				
20					
21	Date:				
22	City and State where sworn and signed:				
23	Printed name:				
24	[printed name]				
25	Signature: [signature]				
26	[signature]				
27					
28					
	[PROPOSED] STIPULATED Case No.: 5:13-cv-05808-BLF				